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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Attorney Docket No. 1400-004

First Inventor William J. Roberts

Title BIOAVAILABLE PRODRUGS OF ANDROGENIC STEROIDS AND  
RELATED METHOD

Express Mail Label No. EV054088650US

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 40]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed. sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ]
5. Oath or Declaration [Total Pages ]  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☒ Other: Petition to Make Special, Declaration of Tim  
Allyn Patterson, Declaration of Stephen T. Sullivan, and check  
for \$130.00.

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_ /  
Prior application information: Examiner \_\_\_\_ Group / Art Unit: \_\_\_\_

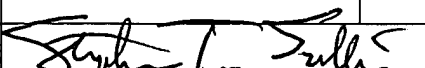
For **CONTINUATION or DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	Stephen T. Sullivan				
	SULLIVAN LAW GROUP				
Address	5060 North 40th Street				
	Suite 120				
City	Phoenix	State	Arizona	Zip Code	85018-2140
Country	US	Telephone	(602) 956-6161	Fax	(602) 956-6262

Name (Print/Type)	Stephen T. Sullivan	Registration No. (Attorney/Agent)	32,444
Signature		Date	January 16, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any  
comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark  
Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for  
Patents, Box Patent Application, Washington, DC 20231.

**FEE TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.

Complete if Known

**TOTAL AMOUNT OF PAYMENT** (\$) 130

Application Number	Unassigned
Filing Date	January 16, 2002
First Named Inventor	William J. Roberts
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	1400-004

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit  
Account  
NumberDeposit  
Account  
Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1)**

(\$ 0)

**2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20 ** = 0	X	= 0
Independent Claims	-3 ** = 0	X	= 0
Multiple Dependent	X		= 0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	130.00
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

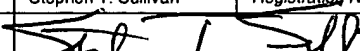
\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$ 130)

**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Stephen T. Sullivan	Registration No. Attorney/Agent)	32,444	Telephone	(602) 956-6161
Signature				Date	January 16, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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# Sullivan Law Group

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Facsimile (202) 661-6117

January 16, 2002

***Via Express Mail***

## BOX APPLICATION

Assistant Commissioner for Patents  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202-0327

**Re: *Filing of New U.S. Patent Application Entitled*  
*"BIOAVAILABLE PRODRUGS OF ANDROGENIC*  
*STEROIDS AND RELATED METHOD"*  
*Our Ref. 1400-004***

Dear Sir:

We hereby submit the following papers in connection with the filing of this new U.S. patent application entitled "BIOAVAILABLE PRODRUGS OF ANDROGENIC STEROIDS AND RELATED METHOD," inventor William J. Roberts:

1. Utility Patent Application Transmittal (1 page);
2. Specification (39 pages) including claims (4 independent claims and 58 claims total);
3. Abstract of the Disclosure (1 page); and
4. Information Disclosure Statement, Form PTO-1449 and cited documents;
5. Petition to Make Special Under 37 C.F.R. § 1.102 and MPEP 708.02 (3 pages);
6. Declaration of Tim Allyn Patterson in Support of Petition to Make Special Under 37 C.F.R. § 1.102 and MPEP 708.02 (3 pages);



Assistant Commissioner for Patents  
January 16, 2002  
Page 2

7. Declaration of Stephen T. Sullivan in Support of Petition to Make Special Under 37 C.F.R. § 1.102 and MPEP 708.02 (2 pages);
8. Fee Transmittal for FY2002 (1 page);
9. Check for \$130.00; and
10. Postcard for receipt acknowledgment.

Please accord this application a serial number and the filing date of January 16, 2002.

Sincerely,



Stephen T. Sullivan

STS/lam  
Enclosures

CERTIFICATE OF EXPRESS MAIL

Express Mail Mailing Label No. EV054088650US

Date of Deposit: January 16, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Assistant Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-0327.



CERTIFICATE OF EXPRESS MAIL

Express Mail Mailing Label No. EV054088650US

Date of Deposit: January 16, 2002

I hereby certify that this Utility Patent Application Transmittal is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Assistant Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-0327.

Anne K Hoole

**PATENT APPLICATION**  
**OF**  
**WILLIAM J. ROBERTS**  
**FOR**  
**"BIOAVAILABLE PRODRUGS OF ANDROGENIC STEROIDS**  
**AND RELATED METHOD"**

**CERTIFICATE OF EXPRESS MAIL**

Express Mail Mailing Label No. EV054088650US

Date of Deposit: January 16, 2002

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Anne K. Hoole

**Attorney Docket No. 1400-004**